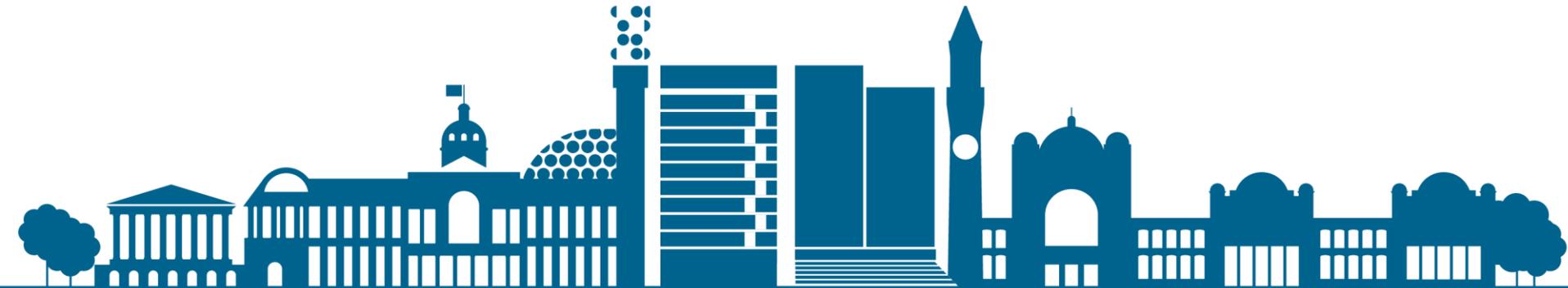




UNIVERSITY OF
BIRMINGHAM

Trial portfolio registration & reporting - oversight & support for researchers

Birgit Whitman,
Head of Research Governance & Integrity



Plan for today

- ❑ Requirements
- ❑ Institutional Oversight & Support
- ❑ Dealing with EudraCT legacy issues
- ❑ Managing EudraCT registration & reporting prospectively & COVID 19 requirements
- ❑ Next steps



Requirements

- ❑ Sponsors of trials conducted in UK (+/- recruitment at international sites) that are registered on EudraCT are required to upload trial results within 12 months (6 months for paediatric trials) of declaring the end of trial
- ❑ Concordat to support Research Integrity 2012 (revised 2019)
- ❑ Science & Technology Select Committee inquiry 2018 and focus on reproducibility 2021
- ❑ Funder condition
- ❑ Health Research Authority (HRA) Make it public

<https://www.hra.nhs.uk/planning-and-improving-research/policies-standards-legislation/research-transparency-make-it-public-transparency-and-openness-health-and-social-care-research/>



Institutional Oversight

- ❑ Establish trial registry requirements & set up institutional account (verify list of trials)
- ❑ Resolve legacy issues
- ❑ Include trial registration & uploading of results in policies
- ❑ Direct researchers to appropriate registry & include in grant application / set-up process
- ❑ Monitor registries
- ❑ Ensure upskilled Research Ethics & Governance Teams with resource to offer support to researchers



Deal with EudraCT - legacy

- ❑ Ensure you have a central institutional account
- ❑ Verify EU Trial Tracker list
- ❑ Write to Regulator & EudraCT registry colleagues to request removal of those that are allocated incorrectly or where trial end dates need to be updated.
- ❑ Contact CIs to highlight reporting need for overdue trials and provide clear guidance for uploading results / uploading the publication
- ❑ Work closely with researchers and ensure appropriate support



Deal with EudraCT - legacy

□ **Trials that completed pre 2013**

Upload pdf for trials that closed pre-2013

□ **Trials that ended prematurely**

Post results in EudraCT as a pdf

- For trials approved but never started, sponsors are required to state the reasons for the premature interruption in the pdf document.

- For trials that started and terminated early, sponsors are required to state the reasons for the early interruption, together with any partial result, if available, in the pdf document.



EudraCT – prospectively

- ❑ Build trial registration and reporting needs into the grant application
- ❑ Ensure trials reporting needs are included in the statistical analysis plan at set-up
- ❑ Ensure appropriate tracking and reminders
- ❑ For those which have closed, provide the Eudra access letter which is needed for any staff member to upload trial results to EudraCT
- ❑ Ensure resource is available from appropriate team members and work with researchers to support the upload of results

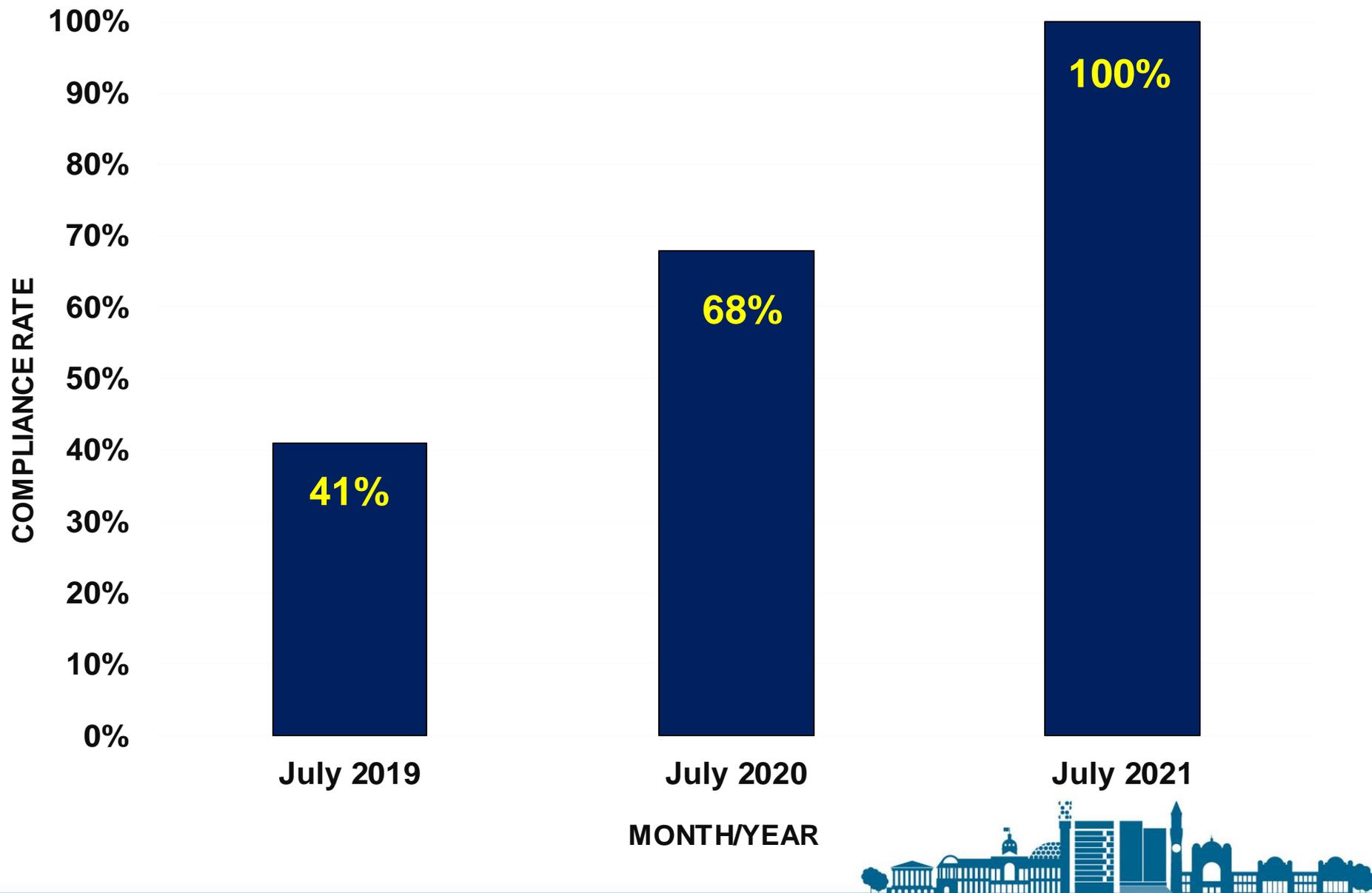


EudraCT – for COVID-19 trial

- ❑ Sponsors of clinical trials on COVID-19 are requested to include the term "COVID-19" in the title of their trial
- ❑ Importance of timely reporting of SAEs (Serious Adverse Events) and of SUSARs (Suspected Unexpected Serious Adverse Reactions) to protect the safety of the participants.
- ❑ Post the relevant results as soon as it is feasible, even before the deadline.



EU trial tracker - UoB clinical trial reporting compliance



Next Steps – WHO definition

- For the purposes of registration, a clinical trial is any research study that prospectively assigns human participants or groups of humans to one or more health-related interventions to evaluate the effects on health outcomes.
- Clinical trials may also be referred to as interventional trials. This include drugs, cells and other biological products, surgical procedures, radiologic procedures, devices, behavioural treatments, process-of-care changes, preventive care (Phase I to Phase IV trials)



Next Steps – Transparency

- ❑ Record numbers of people are taking part in health and social care research
- ❑ Ensure research findings are made public in a meaningful and timely way
- ❑ Transparency and openness are essential to ensure participants are likely to take part in future studies.



In summary

- ❑ Establish national requirements and engage with policy makers
- ❑ Amend policies & processes
- ❑ Assess team skills / interest
- ❑ Ensure protected resource
- ❑ Prepare list of trials
- ❑ Check / create registry institutional access
- ❑ Verify registry entries
- ❑ Contact research community and establish support needs
- ❑ Monitor

