

Making the Case for Quality: Integrating Research Quality Assurance Support Within Academic Research Environments

Rebecca Davies, PhD

Quality Central Program

College of Veterinary Medicine,

St. Paul, Minnesota, USA

Symposium on Quality Management for Academic Research in Preclinical Biomedicine: Burden or Boom?

16 March 2018

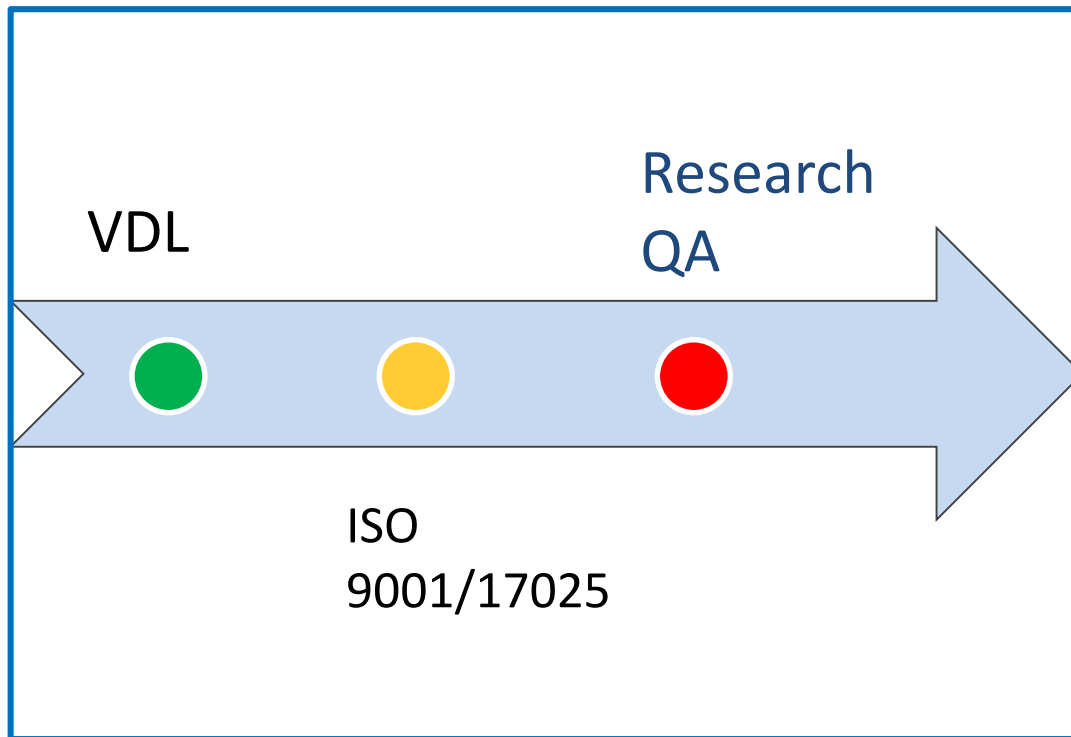
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Personal Perspective: Scientist Driven Accountability



My interests:

- Adoption of r-QA best practices/commitments in academic research environment
- r-QA training and support programs
- r-QA infrastructure and sustainability: Science-Centered and Risk Based



The Case for Quality



Why QA?
Why Now?
Early Problems
First Steps
High Hopes

Research QA: Establish Expectations



At any commitment level

Institutional

Program

Project

Individual

Burdens are not insignificant

Funding is a problem

Models are lacking

Find Champions

Add value

**Establish and
drive
expectations**

Collect Data

**Improve
research**

**Safeguard sound science and stand up for the
quality of our data.**

**Demonstrate
Quality**

**Support
and train
scientists**

Quality Assurance
Management Systems
are designed to:

Improve and maintain the precision and accuracy
of a **product**





and establish routine performance

A photograph of a green lamppost in the foreground with a red banner attached. The banner has the text 'UNIVERSITY OF MINNESOTA' and 'Driven to Discover' in gold. In the background, a large, classical-style building with many columns is visible, surrounded by trees with autumn foliage. The sky is blue.

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The **products** we
generate are
research
data, inference
and publications



and the next generation of scientists

Systematic Approach: Credible Evidence

Facilities

**Document
SOPs,
Records**

**Equipment
Calibration
Maintenance**

**Evidence that the work is
fit for its intended purpose**

**planning, management, execution of
research activity throughout the
research life cycle**

**Integrity
Traceability**

**Personnel
Training**



Quality Assurance support is rarely found in academic basic research settings

What is happening at academic institutions?



Research Stakeholder Strategies and Academic Training and Support Programs

Established RI Programs

Focus on Fraud, Fabrication, Plagiarism

Research Accountability

Sound Scientific Principles

Research Integrity

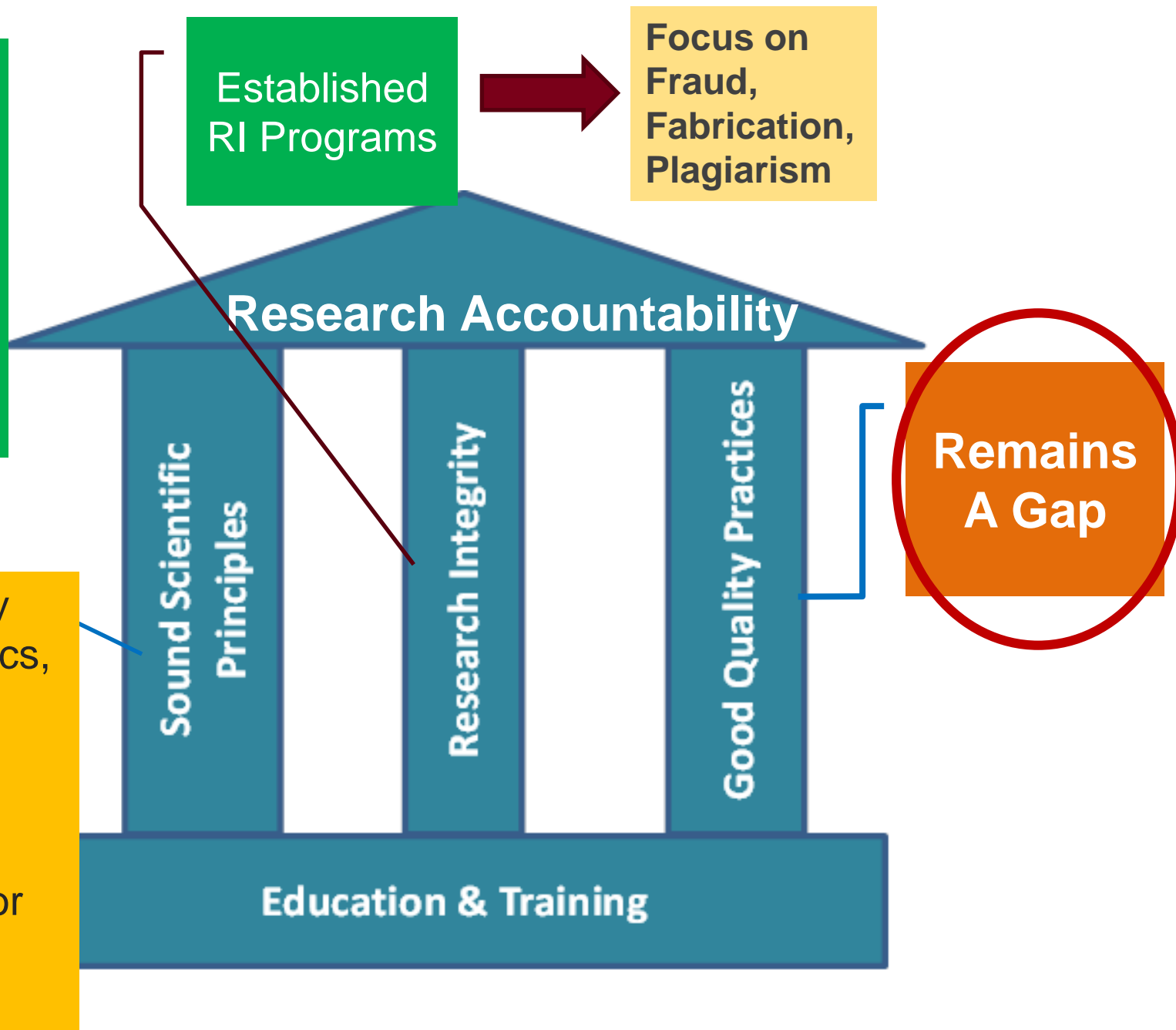
Good Quality Practices

Remains A Gap

Focus on Study Design, Statistics, Bias, Reagent Verification

Meet new requirements for funding or publishing

Education & Training



How sound scientific principles and good quality practices contribute to the credibility of results

(World Health Organization: Quality Practices in Biomedical Research Handbook, 2006)

	Sound Scientific Principles	Good Quality Practices	Credibility of Results
Study 1	No	No	No
Study 2	No	Yes	No
Study 3	Yes	No	No
Study 4	Yes	Yes	Yes

Sound Scientific Principles	Good Quality Practices
Premise, Hypothesis, Literature Review	Project Management Data Management
Study Design, Bias	Personnel
Statistics, Inference	Facilities
Variables (Example: Sex)	Equipment
Authentication of Critical Reagents	Materials and Reagents
Quality Control	Method Validation
Method Selection	Procedures
Research Review	Research and Writing
	Research Quality

**Who, What,
Where, When,
How, Why**

**Research Records
throughout the
research and
data life cycle.**

Data Integrity

Reproducibility2020: Progress and Priorities

Leonard P. Freedman,¹ Gautham Venugopalan,² and Rosann Wisman¹

¹Global Biological Standards Institute, Washington, DC 20036

²Gryphon Scientific, LLC, Takoma Park, MD 20912

Corresponding Author:

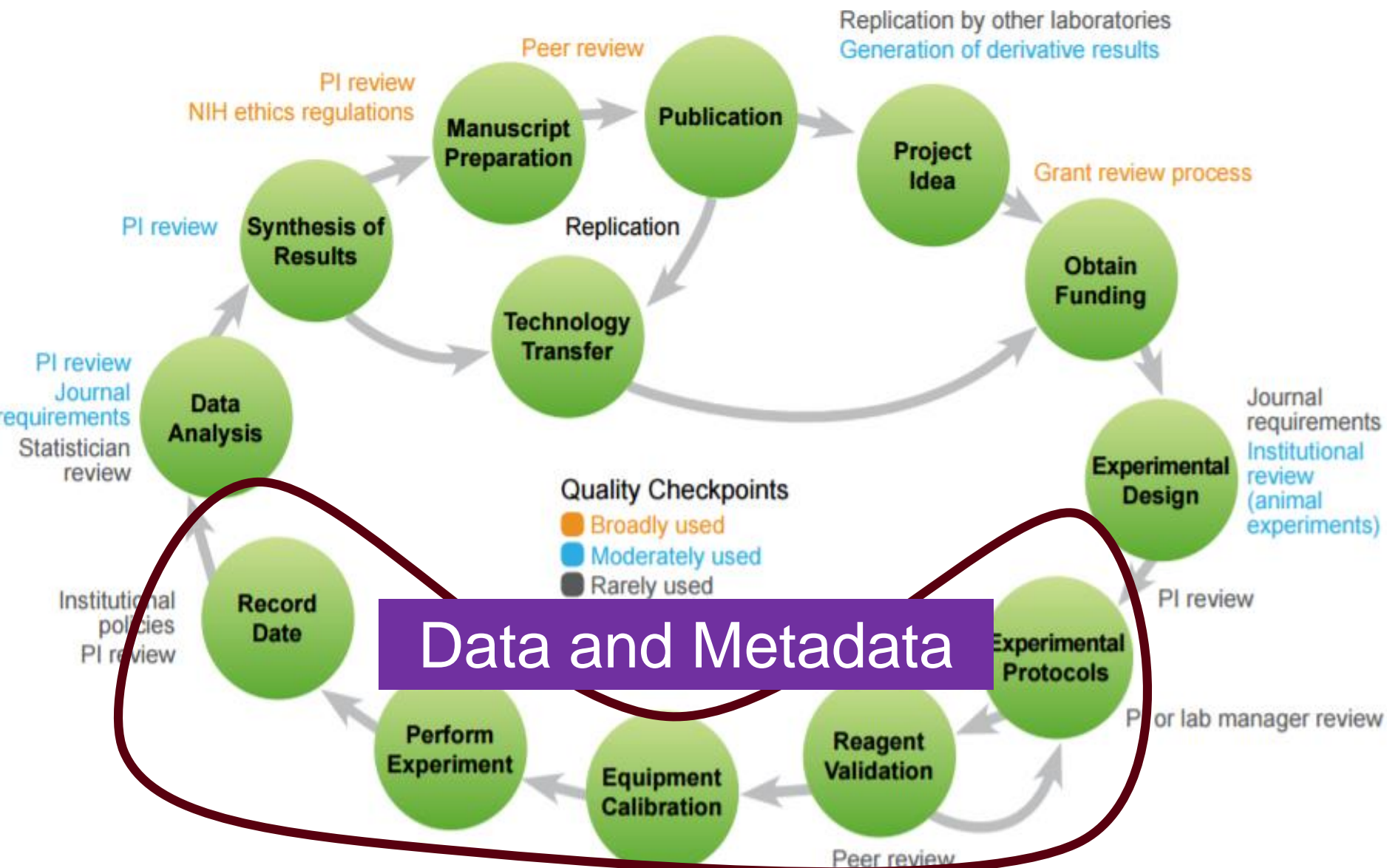
Leonard P. Freedman, Ph.D.

Global Biological Standards Institute

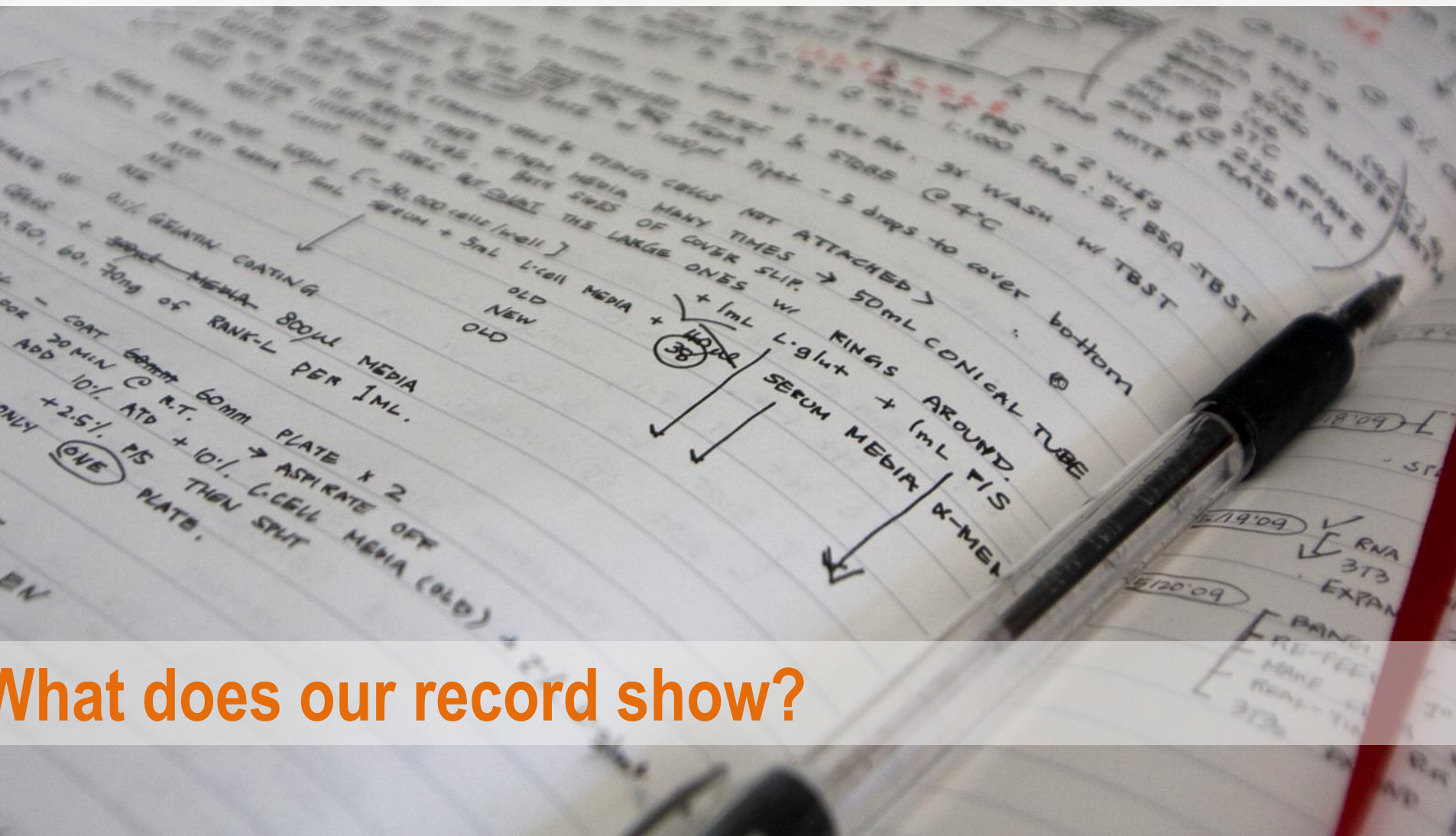
1020 19th St., NW, Suite 550

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Have we got this covered?



Recognizing that data and metadata reconstruction are critical to research reproducibility



What does our record show?

Problems reported with research records

Publication	Results
<p>On the reproducibility of science: unique identification of research resources in the biomedical literature .</p> <p>Vasilevsky et al, PeerJ1:el 48, 2013;</p>	<p>54% of resources are not uniquely identifiable in publications</p>
<p>Who's sample is it anyway? Widespread misannotation of samples in transcriptomics studies;</p> <p>L Toker et al, F1000Research, 2016</p>	<p>Apparent mislabeled samples in 46% of the datasets studied</p>
<p>Gene names are widespread in the scientific literature;</p> <p>Ziemann et al, Genome Biology 2016</p>	<p>About one fifth of papers with supplementary Excel gene lists contain erroneous gene name conversion</p>
<p>Scientists behaving badly</p> <p>Martinson et al, Nature 435, June 2005</p>	<p>27.5% of scientists self report inadequate record keeping</p>

CMD #16

LABORATORY NOTEBOOK

What we are talking about here is
record keeping

QA is big on record keeping



Strategies are needed to fill the gaps



Boon

Addresses known
gaps in practice
and training

Timing is right

Credible
evidence of
research rigor

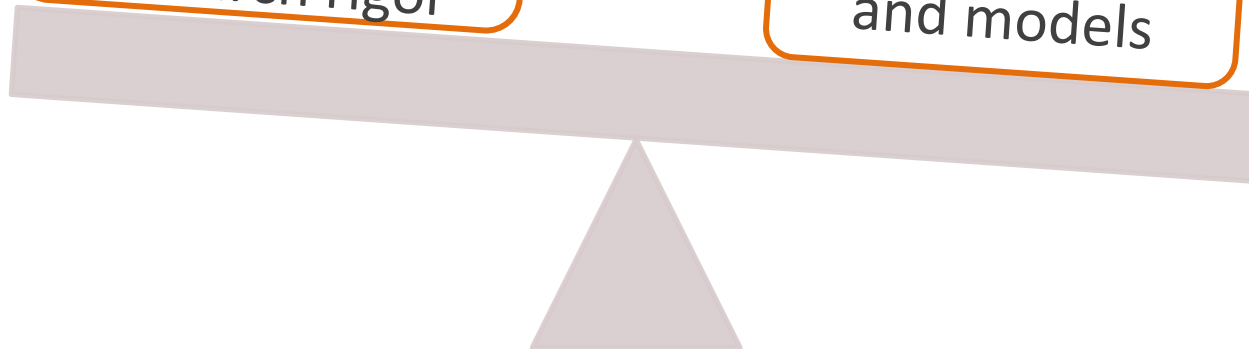
Burden

Culture: Lack
of champions
and buy-in

Funding
Expertise
Training

Sustainability
Monitoring

Lacking strategy
and models



Infrastructure and Resource Needs

Infrastructure

Flexible System,
Requirements
Guidelines

Resources

**Funding,
Time**

Training

Tools

QMS
software

ELN

BIG MISSION

Expertise

Culture

Incentives

Equipment

Secure Data
Curation

Biorepository

Automate
d data
collection

Scientist needs

Requirements and checkpoints that add value

Science
Centered

Risk Based

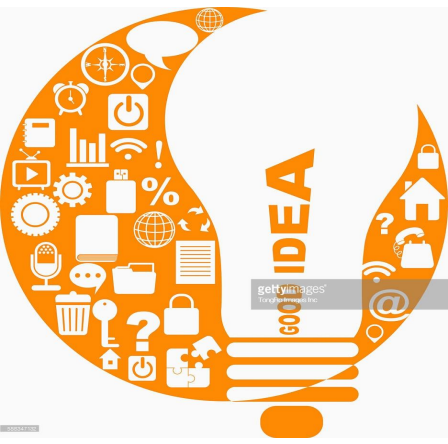
Input

Flexibility

Creativity

Efficiency

Training



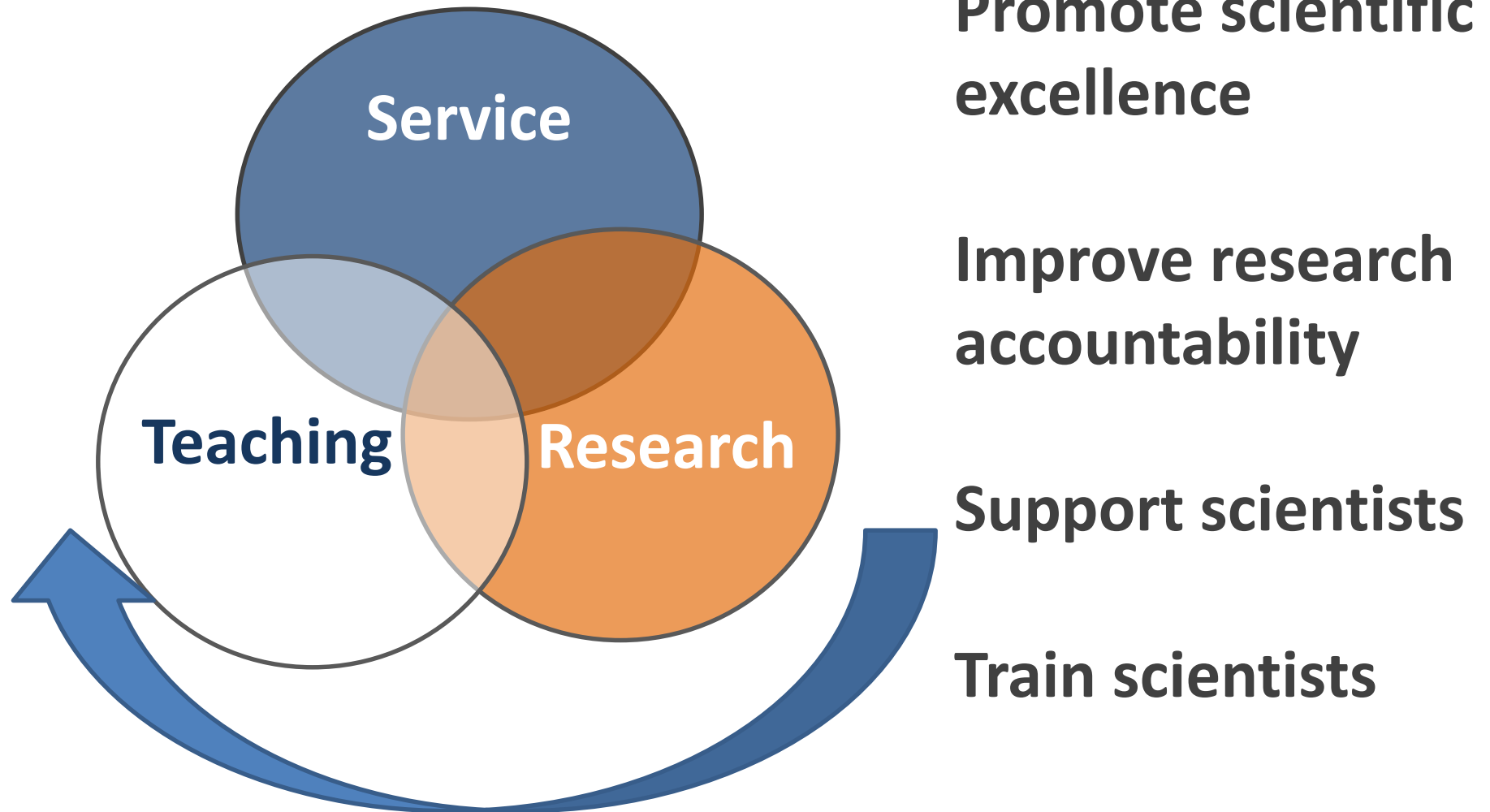
Quality Central

Sharpening the focus on sound science and quality practices

Systematic Approach : Credible Evidence



A mission (and system)-based approach to research and data accountability



Planting seeds: research practice

Scientist driven
strategy for sustaining
and demonstrating
research
accountability

Awareness building



Germination

Central

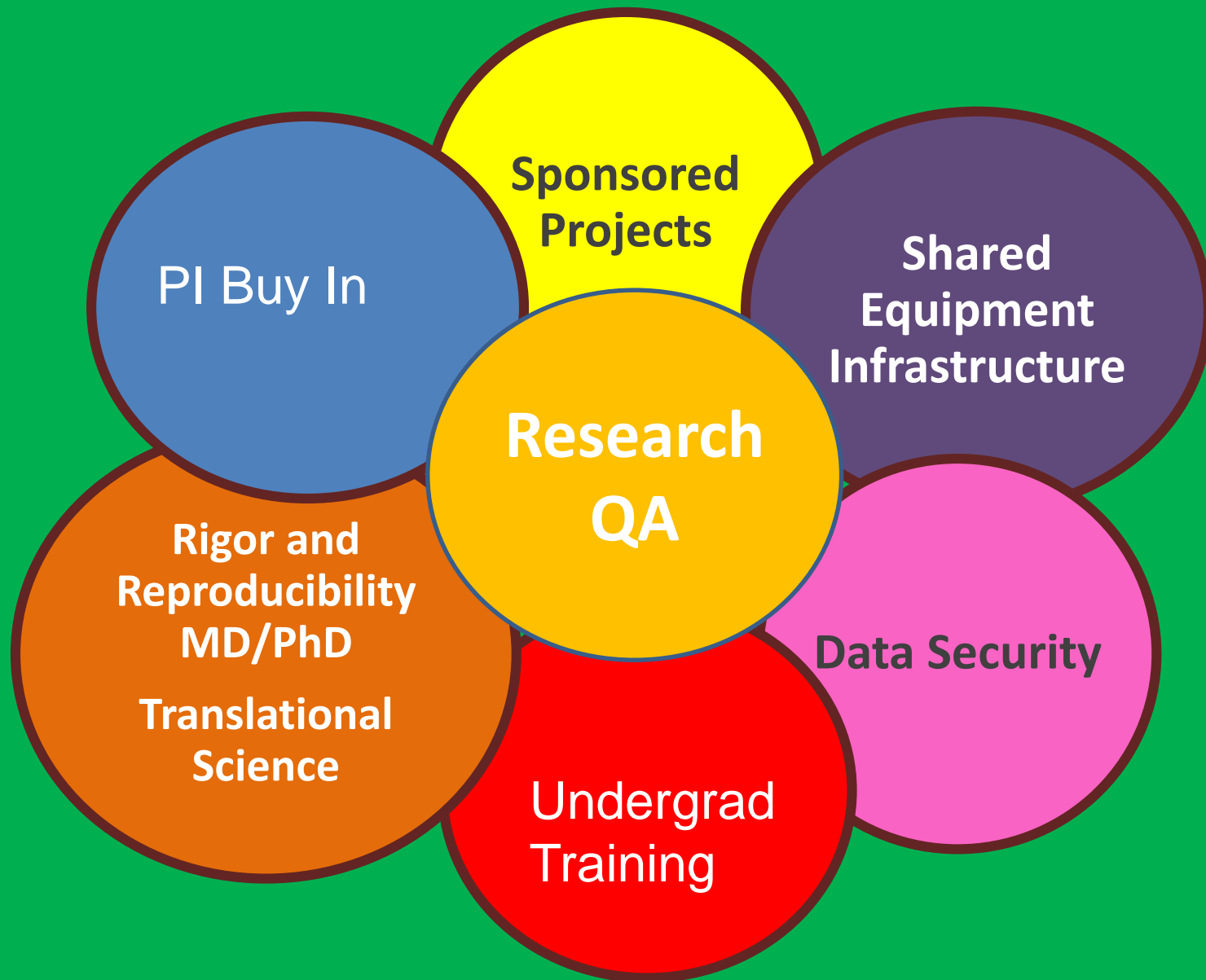
Collegiate

Program

Project/Study

Individual Scientist







“I want our research data to reflect the biology of the pig - not the effect of equipment, reagents, method uncertainty or poor sample quality.

QuARRC

Quality Assurance Research Reproducibility Collaborative

Who

- **Trainees:** 12 MD/PhD and PhD predoctoral trainees [Lab Med & Path, Biochemistry, Neuroscience, Genetics, Microbiology, Immunology, and Cancer Biology]
- **Instructors:** Scientists with expertise in Quality Assurance (Quality Central Program), Educational Paradigms (Center for Education Innovation) and Data Management (Library)

What

- **Pilot program** to facilitate the adoption of Research Quality Assurance (RQA) best practices within basic research settings to enhance research rigor and reproducibility.

How

- **Trainee project based – ‘Research in context’**

Boon [add value]

On Wed, Feb 28, 2018 at 10:50 AM,

Hello Rebecca,

We're putting together the Summer Scholars schedule and Bruce and I are hoping you'll again discuss quality assurance and reproducibility with the group.

This is one of the items the T35 panel picked out as a strength.

Please advise if you can participate again. Thanks.
Mark

Boon [identify champions]

Rebecca -

I wanted to let you know that the training grant got an amazing score. In it, we promised that we would continue to develop RQA training. ...the reviews are extremely positive.

One of the things they particularly liked (and called out as a strong positive) was the RQA program. So I kind of want to continue to develop it.

I wanted to let you know the status on this, both because if this grant gets funded, you can note that **RQA played a role**, and because if funded, I **don't want to lose the RQA program**.

I want to develop it into something we can incorporate into something we do annually.

David

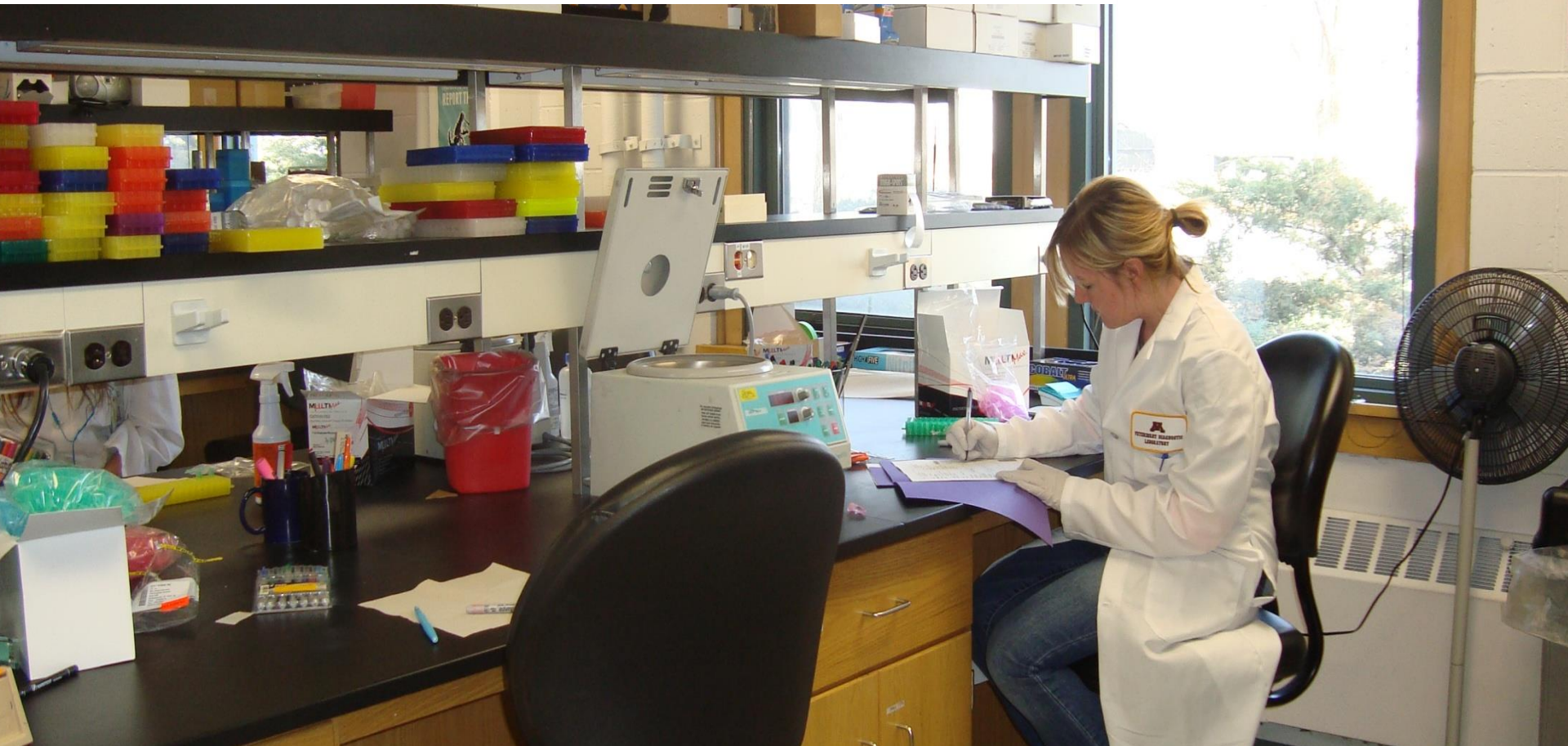
Burden

Model is not sustainable

**Training and resource
centered rather than
implementation centered**

Difficult to expand the garden

The Time is Right for Research QA



Scientists must stand up for the quality of their work

Burden or Boon?



Why QA?
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High Hopes

Thank you!

Rebecca Davies

rdavies@umn.edu



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